

Addendum SPC: Supported Apartment Program

The provision of contracted, authorized, and provided services shall be in compliance with the provisions of this agreement, the service description and requirements of this section; *and if applicable*, state certification and licensing criteria.

Specifics

Providers are subject to the same qualifications as providers under the Medicaid State Plan as defined in Wisconsin State Statute 1915 (c) home and community-based waiver services waivers #0367.90 and #0368.90 required under § 46.281 (1) (c).

The services for which Lakeland Care, Inc (LCI) is contracting with your organization are noted in the Rates and Service Codes chart attached to the LCI Service Provider Contract.

Definition

The Supported Apartment Program (SAP) is the provision of services to directly assist persons with daily activities, personal cares and supervision to meet their daily living needs and to ensure adequate functioning and safety in their home and community. This support may vary from as few as four hours per day up to 24 hours a day seven days per week. SAP services include all of the following components of SHC:

- a. Hands-on assistance with activities of daily living such as dressing/undressing, bathing, feeding, managing medications and treatments that are normally self-administered, toileting, assistance with ambulation (including the use of a walker, cane, etc.), carrying out professional therapeutic treatment plans, grooming such as care of hair, teeth or dentures. This may also include preparation and cleaning of areas used during provision of personal assistance such as the bathroom and kitchen.
- b. Direct assistance with instrumental activities of daily living, as well as observation or cueing of the member to safely and appropriately complete activities of daily living and instrumental activities of daily living. Providing supervision necessary for member safety at home and in the community. This may include observation to assure appropriate self-administration of medications, assistance with bill paying and other aspects of money management, assistance with communication, arranging and using transportation and personal assistance at a job site and in non-employment related community activities.
- c. Routine housekeeping and cleaning activities performed for a member consisting of tasks that take place on a daily, weekly or other regular basis. These may include: washing dishes, laundry, dusting, vacuuming, meal preparation, shopping and similar activities that do not involve hands-on care of the member.
- d. Intermittent major household tasks that must be performed seasonally or in response to some natural or other periodic event for reasons of health and safety or the need to assure the member's continued community living. These may include: outdoor activities such as yard work and snow removal; indoor activities such as window washing; cleaning of attics and basements; cleaning of carpets, rugs and drapery; refrigerator/freezer defrosting; the necessary cleaning of vehicles, wheelchairs and other adaptive equipment and home modifications such as ramps. This also may include assistance with packing/unpacking and household cleaning/organizing when a member moves.

SAP services may also include but are not limited to: assistance with behavior and social supports, daily living skills training (DLST), financial management, social and recreational activities, developing and enhancing interpersonal skills, meal planning/nutrition, reminders for medications and monitoring symptoms and side effects, community resource access and utilization, emotional regulation skills, crisis coping skills, accompaniment to medical appointments, and transportation.

Standards, Training, and Competency

Providers of services shall meet the standards of this agreement; *and if applicable*, agrees to retain licensing in good standing during contract period.

Provider shall ensure that staff providing care to members is adequately trained and proficient in both the skills they are providing and in the needs of the member(s) receiving the services. Provider shall ensure competency of individual employees performing services to the LCI members. Competency shall include assurance of the general skills and abilities necessary to perform assigned tasks.

Training of staff providing services shall include:

1. Provider agency recording and reporting requirements for documentation, critical incident reporting, and other information and procedures necessary for the staff to ensure the health and safety of member(s) receiving supports
2. Training on the needs of the target group for the member(s) served under this agreement
3. Training on the provision of the services being provided
4. Training on the needs, strengths, and preferences of the individual(s) being served
5. Training on rights and confidentiality of individuals supported
6. Information and provider procedure for adherence to the LCI policies below:
 - a. Incident Management System
 - b. Restraint and Seclusion Policy and Procedure
 - c. Communication Expectations
 - d. Unplanned use of restrictive measure
 - e. Confidentiality

Provider shall ensure staff providing care will complete all required Training and documentation Standards for Supportive Home Care set forth by the Department of Health Services- October 2016. Provider shall attest to the completion of this training and provide Lakeland Care an attestation letter signed and dated by an authorized signer on behalf of the provider. These training requirements include the following;

Personal Assistance Services: Worker Training Standards

Workers who provide personal assistance services, or personal assistance and household services related to the care of the person, such as food purchasing and preparation, laundry, and cleaning of areas of the home necessary to provide personal assistance, must receive training on the following subjects:

- A. *Policies, Procedures, and Expectations* for workers, including HIPAA (Health Insurance Portability and Accountability Act) compliance and other confidentiality requirements; ethical standards, including respecting personal property; safely providing services to members; and procedures to follow when unable to keep an appointment, including communicating the absence and initiating backup services.
- B. *Billing and Payment Processes and Relevant Contact Information*, including recordkeeping and reporting; contact information, including the name and telephone number of the primary contact person at the SHC agency, or the SDS member or representative, and the fiscal/employer agent.
- C. *Recognition of, and Response to, an Emergency*, including protocols for contacting local emergency response systems; prompt notification of the SHC agency or the SDS member's fiscal employer agent; notification of the contacts provided by the SDS member and LCI's IDT.
- D. *Member-Specific Information* including individual needs, functional capacities, relevant medical conditions, strengths, abilities, member preferences in the provision of assistance, SHC-related outcomes, and LCI's care manager contact information. (the member/representative may provide this training component, in whole or in part.)
- E. *General Target Population*. Information that is applicable to the members the worker will serve. (This applies primarily to SHC agency-based services or where a worker will serve multiple SDS members with the same or similar conditions.)
- F. *Providing Quality Homemaking and Household Services*, including understanding good nutrition, special diets, and meal planning and/or preparation. Understanding and maintaining a clean, safe, and healthy home environment. Respecting member preferences in housekeeping. (This applies where a worker will be providing housekeeping services in addition to personal assistance. The training may focus on the particular housekeeping services the worker will provide.)
- G. *Working Effectively with Members*, including appropriate interpersonal skills; understanding and respecting member direction, individuality, independence, and rights; procedures for handling conflict and complaints; cultural differences and family relationships. This component should include training on behavioral support needs, if applicable.

Household/Chore Services: Worker Training Standards

Workers who perform only household chores relating primarily to the care and/or upkeep of the interior or exterior of the member's residence shall receive training commensurate with the scope of their duties as follows:

- A. *Policies, Procedures, and Expectations* for workers, including HIPAA compliance and other confidentiality requirements; ethical standards, including

respecting personal property; safely providing services to members; and procedures to follow when unable to keep an appointment, including communicating the absence and initiating backup services.

B. *Billing and Payment Processes and Relevant Contact Information*, recordkeeping, reporting, and contact information, including the name and telephone number of the primary contact person at the SHC agency, or the SDS member, and fiscal/employer agent.

C. *Recognition of, and Response to, an Emergency*, including protocols for contacting local emergency response systems; prompt notification of the SHC agency or the SDS member's fiscal employer agent; notification of the contacts provided by the SDS member and LCI's IDT staff.

D. *Providing Quality Homemaking and Household Services*, including understanding good nutrition, special diets, and meal planning and/or preparation. Understanding and maintaining a clean, safe, and healthy home environment. Respecting member preferences in housekeeping tasks and shopping.

E. *Member-Specific Information* only to the extent that it is necessary and is directly related to performing the tasks of household/chore services, and LCI's care manager contact information. (The member or member's representative may provide this component of training, in whole or in part.)

Note: While all five areas (subsections A-E) of this section must be addressed in the training of household/chore services workers, the type and depth of information provided may not need to be as extensive as for personal assistance services.

Exemption from or Waiver of Training

A. **Exemption**—Due to their own licensure or credentialing requirements, the following professions may be exempted by the responsible entity or entities from these training requirements: certified nursing assistant, licensed practical nurse, registered nurse, licensed physical or occupational therapist, or certified physical or occupational therapy assistant. When an exemption is granted, the responsible entity or entities must still ensure that a worker performing medically oriented tasks, such as tube feedings, wound care, or tracheotomy care is competent in performing these tasks with the specific member.

B. **Waiver**—Some or all of the required training may be waived based on knowledge and skills attained through prior experience (e.g., as a personal care worker for a Medicaid-certified personal care agency). Responsibility for making, documenting, and maintaining documentation of such a waiver shall be based on the protocols in Section III.B. and VIII. When a waiver is granted, the responsible entity or entities must still ensure that a worker performing medically oriented tasks, such as tube feedings, wound care, or tracheotomy care is competent in performing these tasks with the specific member.

Note: Notwithstanding any exemption or waiver under subsections A or B of this section, such workers will likely need agency and member contact information, information on billing, payment, documentation, and any other relevant administrative requirements, protocols for emergencies and member-specific information.

C. Documentation—For workers exempted from some or all of the training requirements under subsections A. or B. of this section, the responsible entity (pursuant to Section III.B.) making the exemption or waiver decision shall maintain copies of credentials or other documentation of their existence, or a written rationale for waivers based on experience, signed and dated by that entity.

Completion and Documentation of Training

A. Timeframes

1. Personal assistance services training shall be completed prior to providing personal assistance services.
2. Household/chore services training shall be completed within two months of beginning employment.

B. Responsibility for Creating and Maintaining Documentation

1. For agency-based services, the SHC agency shall document the training and any exemptions or waivers, and maintain the documentation.
2. For SDS members who are common-law employers:
 - a. Members who make training decisions shall document the training and any exemptions or waivers, and maintain the documentation with the assistance of the fiscal/employer agent if within the scope of its work or.
 - b. If members do not make training decisions, the fiscal/employer agent if within the scope of its work shall document the training and any exemptions or waivers, and maintain the documentation.

C. Content of Documentation

1. Documentation shall list the training content, dates such training occurred, and for exemptions and waivers, the credentials and/or rationale that are the basis for any training exemption or waiver
2. Documentation that training requirements have been met through provision of training and/or exemption or waiver shall be signed and dated by the entity or entities making those decisions.

D. Additional Training

The entity responsible for making and documenting training decisions shall ensure the worker completes appropriate additional training, if the worker's job duties change and require additional knowledge and/or skills.

Staff to Member Ratio

Staff to member ratio for services will vary based on member needs and long-term care outcomes and will be determined under guidance of the LCI Interdisciplinary Team (IDT) staff.

Staffing ratios are subject to change. When a need for a staffing ratio significantly changes (increase or decrease), provider will collaborate with Interdisciplinary Team (IDT) staff and the Provider Specialist (PS) prior to implementing the change. Provider must submit revised residential forms to the PS. Required documentation for requests to revise rates can be found on the LCI website at www.lakeandcaredinc.com and include: 1) Residential Computation Worksheet, 2) Residential Salary Allocation Worksheet, 3) Residential Weekly Staff Schedule, 4) Residential Member-Staff Scheduling Form.

Forms will be reviewed with the provider and the IDT staff prior to approval or denial. Proposals for rate increases will be reviewed, but are not guaranteed.

Staffing Assignment and Turnover

The provision of successful services is attributable in large part to the strength of the relationship between a member and the staff directly providing the service. Given this contributory factor, provider agrees to make every effort to match and retain direct care staff under this agreement in a manner that optimizes consistency.

In order to establish and preserve this relationship, providers must take specific precautions to establish and monitor these services. Providers must have a process in place for:

1. Members to provide feedback on their experience with the employees performing these tasks and respond when appropriate.
2. Written information indicating who within the organization to contact with concerns, or questions related to the provision of services or direct care staff
3. Provider will forward documentation and/or feedback to the Interdisciplinary Team (IDT) staff to allow members to express concerns to individuals other than the individual who performs the task

Changes in staff assignments to specific members and within the organization are at the discretion of the provider. Provider agrees to take member requests for specific staff into consideration when assigning or reassigning staff to specific members and will notify the LCI IDT staff in their reporting of any changes to staff providing services.

Collaboration and Coordination of Care

Through the use of the Resource Allocation Decision method (RAD), the LCI IDT staff shall assess the member's needs and outcomes to determine the amount of services to be authorized. LCI IDT staff shall exchange pertinent information with the provider at the time the referral is made to assure all health and safety needs are provided during the services. This information exchange shall include the assessed needs and amount of authorized units as it relates to services.

All aspect of services shall be discussed between the LCI IDT staff, member or legal representative, and provider to ensure proper collaboration.

Agency Communication Responsibilities:

Provider shall ensure a mechanism for recording and reporting to the IDT staff and other appropriate agencies incidents including:

- a. Changes in:
 - Condition (medical, behavioral, mental)
 - Medications, treatments, or MD order
 - Falls (with or without injury)
 - Urgent Care, Emergency Room or Hospitalization
 - Death: anticipated or unexpected
 - Any other circumstances warranting the completion of an agency incident or event report
 - Unplanned use of restrictive measure
- b. Communication/Coordination regarding:
 - Medical Equipment or Supplies
 - Plan of Care development and reevaluation
 - Transition difficulty, discharge planning
 - Ongoing Care Management

Note: Staff will first follow their own established in-house protocol. Staff will then inform the IDT of *any member circumstance that would warrant family or physician notification* that includes, but is not limited to the above circumstances.

Documentation

Providers shall comply with documentation as required by this agreement; *and if applicable*, state licensure and certification requirements as expressed by ordinance, state and federal rules and regulations applicable to the services covered by this contract.

Each LCI member shall have a developed plan of care specific to their needs which address each area of service need being provided. A copy of this care plan shall be supplied to LCI IDT staff.

Providers shall prepare and send a written report to LCI IDT staff monthly. IDT staff may request additional written and/or verbal information to enhance coordination and/or quality of care.

The written report shall include the following elements:

- Any changes in daily activities
- List of tasks/cares being provided
- Behavioral interventions
- Significant changes in condition to physical, mental or emotional health
- Ongoing concerns specific to the member

Billable Units

Provider rates for provision of services will incorporate all administrative and business functions related to the provision of service. Contracted rates include the provision of administrative functions necessary for services and are not billable beyond units provided to each authorized member.

Providers should reference the Rates and Service Codes Chart of the agreement for contract units and rates.

Providers should use increments as listed in the Rates and Service Codes Chart to bill LCI up to the authorized number of units for the member. Providers can only bill for services rendered to the member. Provider will refund LCI the total amount of any/all units billed without services rendered to the LCI member.

Providers are required to provide for all identified care needs during the provision of services and are specifically prohibited from billing fraudulently for additional services during the provision of these services.

Family Care services administered by LCI are funded by state and federal tax dollars through the Medical Assistance program. As a publicly-funded system, LCI strives to maintain the integrity of the program by ensuring that all services are billed as authorized by LCI, and as rendered to members. LCI ensures this protection, by regularly conducting random reviews of claims submitted by its contracted providers. LCI reserves the right to request verification documentation from providers. This could include but is not limited to: providers' case notes, files, documentation and records.

Additional Considerations:

- Services will be provided as identified and authorized by the LCI IDT staff.
- Providers may not limit or deny any LCI member services due to dissatisfaction with their LCI contracted rate.
- LCI pre-authorizes all of its services. If provider bills for more units than authorized without prior authorization, these services may be denied.
- In the case that a LCI member cancels service, the provider must contact the LCI IDT staff. Services cancelled will not necessarily be rescheduled and should not be assumed by the provider.
- Provider can bill LCI for any day that they provide a service to the member, even if the member does not sleep in the facility that night.
- Provider cannot bill LCI for the following circumstances:
 - When a member is discharged from the setting at the provider's request
 - A member elects to move to a different setting
 - A member goes on vacation
 - A member goes to stay with relatives or friends
 - A member attends a camp
 - A member dis-enrolls from LCI
 - The death of member

Scope of Services

A contract for SAP services with LCI incorporates the services and supports listed below. This list is not all encompassing, but a listing of general categories and examples of costs typically incurred in each category. SAP settings include the following items and supports:

Program Services *Program (Care and Supervision)*

1. Supervision - adequate qualified staff to meet the scheduled and unscheduled needs of

members.

2. Personal Care, Assistance with Activities of Daily Living and Daily Living Skills Training.
3. Activities, socialization and access to community activities- including facility leisure.
4. Activities, community activities information and assistance with accessing, and assistance with socialization with family and other social contacts.
5. Health Monitoring - including coordination of medical appointments and accompanying members to medical service when necessary.
6. Medication Oversight - including medication set-up, medication management, or administering medications.
7. Behavior Management, including participation with LCI in the development and implementation of Behavioral Support Plans and Behavioral Intervention Plans.
8. Personal Protective Equipment for staff use including gloves, gowns, masks, etc.
9. Transportation - whether coordinated or provided directly, transportation is typically included in the SAP scope of services.
10. Resident Funds Management - assistance with personal spending funds, not including representative payee services. Members accounts must be balanced monthly and the financial monthly summary forwarded to the IDT staff.

The following costs are *not typically* provided in the daily rate of a SAP and are costs incurred by the individual member:

- Medication and Medical Care Co-payments.
- Personal Hygiene Supplies - including toothpaste, shampoo, soap, feminine care products.
- Member Clothing - shirts, pants, underclothes, socks, shoes, coats.
- Costs associated with community recreational activities - event fees, movie tickets, other recreational activities of the member's individual choosing.

Additional Contract Expectations

- Payment of rent shall be paid directly to the landlord by the member or representative payee.
- Household expenses and payment of utilities are not the responsibility of the provider and should be paid directly by the member or their representative payee.
- Use of the member's apartment by the provider for business purposes (i.e. office space or storage) shall be shared equally between the resident and provider (i.e. SAP rent is \$800 which includes 4 bedrooms, 3 are occupied by LCI members, the members portion of the rent is \$200/member; provider's rent is \$200 for the 1 bedroom occupied by the provider). Payment of the provider's rent portion shall be paid directly to the landlord.
- SAP service providers are responsible to provide the lawn care & snow removal associated with the residence, if it is not covered by the landlord in the lease.
 - Unless a more cost-effective solution is identified, approved by IDT staff, and discussed with the Network Relations Division in terms of the provider's rate.
- Provider may terminate service to a member after issuing to the appropriate parties (including the member/legal representative and LCI) a 30-day written notice. The provider shall collaborate with the member/guardian, IDT staff and potential provider(s) in order to ensure a smooth transition for the member, providing service until a new placement is secured. Notice is not required due to death of a resident, or when an emergency termination is necessary to prevent harm to the health and safety of the member or other household individuals.

- It is not the responsibility of LCI member to provide food, meals, or personal supplies to staff. The provider and/or staff are responsible to pay for staff food/ meals and supplies used while providing services to the LCI members.
- SAP service providers are partly responsible for the condition in which the member's home is maintained. Damages done to an apartment/home as a result of the provider's negligence or failure to adhere to the expectations of LCI as stated in the above definition are the responsibility of the provider and should be paid for directly by the provider to the landlord/owner.
- SAP service providers are responsible to share information related to the lease of the property with LCI. Copies of any leases held by the provider must be furnished to LCI.